

GENERAL EXAM

Lattices & the Knapsack Problem

Tashfeen, Ahmad

Computer Science, University of Oklahoma

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Overview

- 1 Introduction: Cryptography, \mathcal{P} and \mathcal{NP} complexity classes.
- 2 Maths & Notation: Define notation and recall maths.
- 3 Cryptosystem: The Merkle–Hellman Scheme.
- 4 Cryptanalysis
- 5 Lattice Reduction: Example
- 6 Lattice Problems
- 7 Conclusion & References

Introduction

The scale of theoretical complexity from the easiest to the hardest is arranged as shown in figure 1. Out of curiosity, do you want \mathcal{P} to be equal to \mathcal{NP} or not?

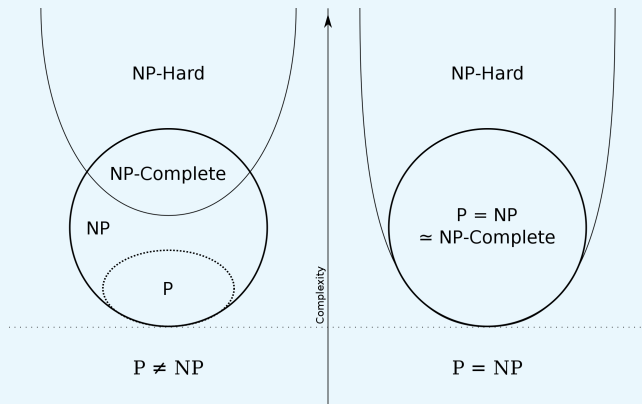


Figure 1: $\text{☺} \subseteq \mathcal{P} \stackrel{?}{\subseteq} \mathcal{NP} \subset \mathcal{NP}$ -complete $\subset \mathcal{NP}$ -hard $\subset \text{☠}$

Introduction

- 1 Cryptography operates around \mathcal{P} problems disguised with special information as \mathcal{NP} problems.
- 2 Someone missing the special information is forced to treat cryptographic problems as \mathcal{NP} .
- 3 We will refer to such \mathcal{P} problems *disguised with special information* as \mathcal{NP} -imposter problems. E. g., for Rivest-Shamir-Adleman (RSA),

$$n = pq$$

Special Information

$$m \equiv c^{ed} \pmod{\varphi(n)} \pmod{n}$$

$$d \equiv e^{-1} \pmod{\varphi(n)}$$

$$\varphi(n) = (p-1)(q-1)$$

Introduction

- 1 Prime factorisation is not proven as \mathcal{NP} -complete [3].
- 2 Merkle and Hellman tried to *base* a cryptosystem on a proven \mathcal{NP} -complete problem in the 1970s [4] [8].
- 3 The particular \mathcal{NP} -complete problem is known as the Knapsack Problem or the Subset Sum problem.
- 4 We solve an instance of this problem, each time we make change.

$$M' = \{1, 5, 10, 25\}$$

Coin Denominations

$$S' = 31$$

Change

$$M' \supset \{1, 5, 25\}$$

Maths & Notation

Let a and b be two linearly independent vectors spanning \mathbb{R}^2 . Note that a and b are not orthogonal.

$$a_1 = \text{proj}_b(a) = \left(\frac{a \cdot b}{b \cdot b} \right) b$$

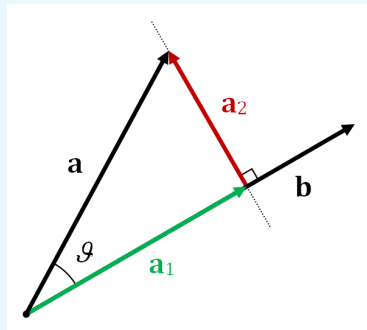


Figure 2: Projection of a onto b , i. e., $a_1 = \text{proj}_b(a)$.

Maths & Notation

Now, note that a_2 and b are orthogonal.

$$a_1 + a_2 = a$$

$$a_2 = a - a_1$$

$$= a - \text{proj}_b(a)$$

$$= a - \left(\frac{a \cdot b}{b \cdot b} \right) b$$

$$= a - \mu b$$

$$\mu = \left(\frac{a \cdot b}{b \cdot b} \right)$$

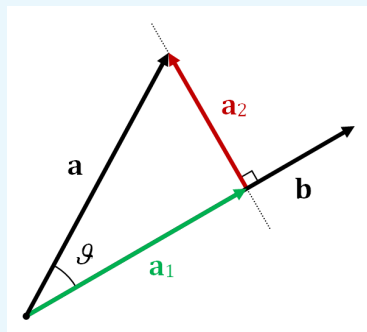


Figure 2: Projection of a onto b , i. e., $a_1 = \text{proj}_b(a)$.

The technique of projections to achieve an orthogonal basis is generalised as the *Gram-Schmidt* process [4]. Let $v_1, v_2, v_2, \dots, v_n$ be a set of linearly independent vectors forming a basis of \mathbb{R}^n , then we define the orthogonal basis as $u_1, u_2, u_2, \dots, u_n$.

$$u_1 = v_1$$

Maths & Notation

$$u_1 = v_1$$

$$u_2 = v_2 - \text{proj}_{u_1}(v_2)$$

$$u_1 = v_1$$

$$u_2 = v_2 - \text{proj}_{u_1}(v_2)$$

$$u_3 = v_3 - \text{proj}_{u_1}(v_3) - \text{proj}_{u_2}(v_3)$$

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⋮

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\ddots

$$u_n = v_n - \text{proj}_{u_1}(v_n) - \text{proj}_{u_2}(v_n) - \text{proj}_{u_3}(v_n) - \cdots - \text{proj}_{u_{n-1}}(v_n)$$

$$u_1 = v_1$$

$$u_2 = v_2 - \left(\frac{v_2 \cdot u_1}{u_1 \cdot u_1} \right) u_1$$

$$u_3 = v_3 - \left(\frac{v_3 \cdot u_1}{u_1 \cdot u_1} \right) u_1 - \left(\frac{v_3 \cdot u_2}{u_2 \cdot u_2} \right) u_2$$

$$u_4 = v_4 - \left(\frac{v_4 \cdot u_1}{u_1 \cdot u_1} \right) u_1 - \left(\frac{v_4 \cdot u_2}{u_2 \cdot u_2} \right) u_2 - \left(\frac{v_4 \cdot u_3}{u_3 \cdot u_3} \right) u_3$$

⋮

$$u_n = v_n - \left(\frac{v_n \cdot u_1}{u_1 \cdot u_1} \right) u_1 - \left(\frac{v_n \cdot u_2}{u_2 \cdot u_2} \right) u_2 - \left(\frac{v_n \cdot u_3}{u_3 \cdot u_3} \right) u_3 - \cdots - \left(\frac{v_n \cdot u_{n-1}}{u_{n-1} \cdot u_{n-1}} \right) u_{n-1}$$

Let $\mu_{i,j} = \left(\frac{v_i \cdot u_j}{u_j \cdot u_j} \right)$ for $i > j$ then,

Maths & Notation

$$u_1 = v_1$$

$$u_2 = v_2 - \mu_{2,1} u_1$$

$$u_3 = v_3 - \mu_{3,1} u_1 - \mu_{3,2} u_2$$

$$u_4 = v_4 - \mu_{4,1} u_1 - \mu_{4,2} u_2 - \mu_{4,3} u_3$$

\ddots

$$u_n = v_n - \mu_{n,1} u_1 - \mu_{n,2} u_2 - \mu_{n,3} u_3 - \cdots - \mu_{n,n-1} u_{n-1}$$

Let $\boldsymbol{\mu}$ be an n by n lower-triangular *Gram-Schmidt* coefficients matrix defined as,

$$\boldsymbol{\mu} = \begin{bmatrix} 0 & 0 & 0 & \cdots & 0 & 0 \\ \mu_{2,1} & 0 & 0 & \cdots & 0 & 0 \\ \mu_{3,1} & \mu_{3,2} & 0 & \cdots & 0 & 0 \\ \mu_{4,1} & \mu_{4,2} & \mu_{4,3} & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ \mu_{n,1} & \mu_{n,2} & \mu_{n,3} & \cdots & \mu_{n,n-1} & 0 \end{bmatrix}$$

```
1 import numpy as np
2
3 def proj(u, v): # projecting v onto u
4     mu = (v @ u.T) / (u @ u.T)
5     return mu * u, mu
6
7 def gram_schmidt(B):
8     U, Mu = np.array(B, dtype=B.dtype), np.zeros(shape=B.shape, dtype=B
9         .dtype)
10    for i in range(1, B.shape[1]):
11        for j in range(i):
12            projection, Mu[i][j] = proj(U[:, j], B[:, i])
13            U[:, i] -= projection
14    return U, Mu
```

Listing 1: Vector projection $\text{proj}_u(v)$ and *Gram-Schmidt* orthogonalisation.

We define the *knapsack* problem as, for any $M \in \mathbb{N}^n$, $S \in \mathbb{N}$ find $x \in \{0, 1\}^n$ such that,

$$M \cdot x = S$$

From the changing making example,

$$M' = [1, 5, 10, 25], x = [1, 1, 0, 1]$$

Then,

$$M' \cdot x = 31 \text{ cents.}$$

Maths & Notation

Euclidean Space Let \mathbf{B} be a basis matrix with d linearly independent column vectors $\{1 \leq i \leq d : v_i \in \mathbb{R}^n\}$. For $d = n$,

$$\mathbb{R}^n = \{x \in \mathbb{R}^d : \mathbf{B}x\}$$

This is trivial, but as sanity check, we inspect the dimensions, $\dim(\mathbf{B}_{(n,d)}x_{(d,1)}) = (n, 1)$.

Euclidean Space Let \mathbf{B} be a basis matrix with d linearly independent column vectors $\{1 \leq i \leq d : v_i \in \mathbb{R}^n\}$. For $d = n$,

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Lattices Restrict $x \in \mathbb{Z}^d$, i. e., $\mathbf{B}x$ to only the integral linear combinations and allow $d \neq n$, we obtain a lattice,

$$\mathcal{L} = \{x \in \mathbb{Z}^d : \mathbf{B}x\}$$

The dimension of the lattice is $\dim(\mathcal{L}) = d$, i. e., the number of vectors in the basis matrix \mathbf{B} .

Euclidean Space Let \mathbf{B} be a basis matrix with d linearly independent column vectors $\{1 \leq i \leq d : v_i \in \mathbb{R}^n\}$. For $d = n$,

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Knapsack Lattices Lattices where $\mathbf{B} \in \mathbb{Z}^{n \times d}$ are called the Lagarias-Odlyzko lattices [1].

Maths & Notation

Both \mathbf{B} and \mathbf{B}' span the same space, i. e., \mathbb{R}^2 , but not the same lattice.

$$\mathbf{B} = \begin{bmatrix} 47 & 95 \\ 215 & 460 \end{bmatrix}$$

$$\mathbf{B}' = \begin{bmatrix} 0 & 50 \\ 50 & 0 \end{bmatrix}$$

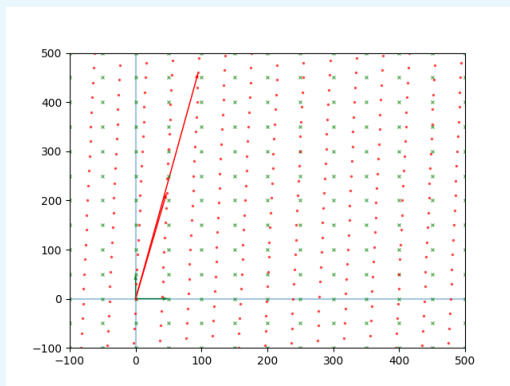


Figure 3: Lattice spanned by \mathbf{B} and \mathbf{B}' .

How may we obtain an orthogonal lattice basis? Does one exist?

Super Increasing Sequences These are sets $M' = \{r'_1, r'_2, r'_3, \dots, r'_n\}$ with

$$r'_{i+1} \geq 2r'_i$$

What's an example? You've seen one.

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What's an example? You've seen one.

Coin Denominations $M' = \{1, 5, 10, 25\}$

```
1 x = [0 for i in M_] # Hoffstein Prop. 7.5 (pg. 379)
2 for i in range(len(M_)-1, -1, -1): # Loop i from n down to 1
3     if S_ >= M_[i]: # If S >= M'[i],
4         x[i] = 1 # set x[i] = 1 and
5         S_ = S_ - M_[i] # subtract M'[i] from S
6     else: # Else
7         x[i] = 0 # set x[i] = 0
```

Listing 3: Linear time algorithm for *super increasing* sets $M_ = M'$.

The Merkle–Hellman scheme [4] is as follows,

Alice	Eve	Bob
Pick $M' = [r'_1, \dots, r'_n]$, such that $r'_1 > 2^n$, $r'_{i+1} \geq 2r'_i$. Pick A, B with $B > 2r'_n$ and $\gcd(A, B) = 1$.		

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Let $r_i \equiv Ar'_i \pmod B$ & $M = \{r'_i \in M' : r_i\}$	M	M

Cryptosystem

The Merkle–Hellman scheme [4] is as follows,

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Let $r_i \equiv Ar'_i \pmod B$ & $M = \{r'_i \in M' : r_i\} \leftarrow$	M	M
$S \leftarrow$	S	$S = Mx$
(M', S') is $\mathcal{O}(n)$.	(M, S) is \mathcal{NP} -imposter.	

Cryptosystem

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<p>Pick $M' = [r'_1, \dots, r'_n]$, such that $r'_1 > 2^n, r'_{i+1} \geq 2r'_i$. Pick A, B with $B > 2r'_n$ and $\gcd(A, B) = 1$.</p> <p>Let $r_i \equiv Ar'_i \pmod B$ & $M = \{r'_i \in M' : r_i\} \leftarrow$</p>	<p>M</p>	<p>M</p>
<p>$S \leftarrow$</p> <p>(M', S') is $\mathcal{O}(n)$. Let $S' \equiv A^{-1}S \pmod B$. Solve $(M', S') \rightarrow x$. We have $M'x = S'$.</p>	<p>S</p> <p>(M, S) is \mathcal{NP}-imposter.</p>	<p>$S = Mx$</p>

Cryptosystem

We know that $M'x = S'$ if and only if $S = Mx$.

$$S' \equiv A^{-1}S \pmod{B}$$

$$\equiv A^{-1}Mx \pmod{B}$$

Bob's Encryption $S = Mx$

$$\equiv \sum_{i=1}^n A^{-1}r_i x_i \pmod{B}$$

Since $M = \{r'_i \in M' : r_i\}$

$$\equiv \sum_{i=1}^n A^{-1}(Ar'_i)x_i \pmod{B}$$

Since $r_i \equiv Ar'_i$

$$\equiv \sum_{i=1}^n r'_i x_i \pmod{B}$$

$$\equiv M'x \pmod{B}$$

$$= M'x$$

Since $M'x \leq r'_1 + r'_2 + r'_3 + \dots + r'_n < 2r'_n < B$

Cryptanalysis

Proof.

Any general knapsack problem (M, S) can be solved in $O(2^{\frac{n}{2}})$.

$$M_L = \left\{ 1 \leq i < \left\lfloor \frac{n}{2} \right\rfloor + 1 : M_i \right\}, \quad M_R = \left\{ \left\lfloor \frac{n}{2} \right\rfloor + 1 \leq i \leq n : M_i \right\}$$

Let $b_j(i) = \left\lfloor \frac{i}{2^j} \right\rfloor \pmod 2$.

$$L = \left\{ 0 \leq i < 2^{\lfloor \frac{n}{2} \rfloor} : x_i = \{ 0 \leq j \leq \lfloor \lg i \rfloor : b_j(i) \}, \sum_{j=0}^{\lfloor \lg i \rfloor} x_{i,j} M_{L_j} \right\}$$

$$R = \left\{ 0 \leq i < 2^{\lceil \frac{n}{2} \rceil} : x_i = \{ 0 \leq j \leq \lfloor \lg i \rfloor : b_j(i) \}, \sum_{j=0}^{\lfloor \lg i \rfloor} x_{i,j} M_{R_j} \right\}$$

Read the written portion.

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Cryptanalysis

We show an example where $M = \{2, 3, 5, 7, 11, 13\}$ and $S = 26$,

$$\begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} 2 \\ 3 \\ 5 \end{bmatrix} = \begin{bmatrix} 0 \\ 2 \\ 3 \\ 5 \\ 5 \\ 7 \\ 8 \\ \ell \rightarrow 10 \end{bmatrix} \star \begin{bmatrix} 0 & \leftarrow r \\ 7 \\ 11 \\ 13 \\ 18 \\ 20 \\ 24 \\ 31 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} 7 \\ 11 \\ 13 \end{bmatrix}$$

$$L_{\ell,1} + R_{r,1} \in \{10, \quad \}$$

Cryptanalysis

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$L_{\ell,1} + R_{r,1} \in \{10, 17, \}$

Cryptanalysis

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$$L_{\ell,1} + R_{r,1} \in \{10, 17, 21, \quad \}$$

Cryptanalysis

We show an example where $M = \{2, 3, 5, 7, 11, 13\}$ and $S = 26$,

$$\begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} 2 \\ 3 \\ 5 \end{bmatrix} = \begin{bmatrix} 0 \\ 2 \\ 3 \\ 5 \\ 5 \\ 7 \\ 8 \\ \ell \rightarrow 10 \end{bmatrix} \star \begin{bmatrix} 0 & \leftarrow \cancel{r} \\ 7 & \leftarrow \cancel{r} \\ 11 & \leftarrow \cancel{r} \\ 13 & \leftarrow \cancel{r} \\ 18 \\ 20 \\ 24 \\ 31 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} 7 \\ 11 \\ 13 \end{bmatrix}$$

$L_{\ell,1} + R_{r,1} \in \{10, 17, 21, 23, \quad \}$

Cryptanalysis

We show an example where $M = \{2, 3, 5, 7, 11, 13\}$ and $S = 26$,

$$\begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} 2 \\ 3 \\ 5 \end{bmatrix} = \begin{bmatrix} 0 \\ 2 \\ 3 \\ 5 \\ 5 \\ 7 \\ 8 \\ \ell \rightarrow 10 \end{bmatrix} \star \begin{bmatrix} 0 & \leftarrow \cancel{r} \\ 7 & \leftarrow \cancel{r} \\ 11 & \leftarrow \cancel{r} \\ 13 & \leftarrow \cancel{r} \\ 18 & \leftarrow \cancel{r} \\ 20 \\ 24 \\ 31 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} 7 \\ 11 \\ 13 \end{bmatrix}$$

$$L_{\ell,1} + R_{r,1} \in \{10, 17, 21, 23, 28, \quad \}$$

Cryptanalysis

We show an example where $M = \{2, 3, 5, 7, 11, 13\}$ and $S = 26$,

$$\begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} 2 \\ 3 \\ 5 \end{bmatrix} = \begin{bmatrix} 0 \\ 2 \\ 3 \\ 5 \\ 5 \\ 7 \\ \ell \rightarrow 8 \\ \ell \rightarrow 10 \end{bmatrix} \star \begin{bmatrix} 0 & \leftarrow \cancel{r} \\ 7 & \leftarrow \cancel{r} \\ 11 & \leftarrow \cancel{r} \\ 13 & \leftarrow \cancel{r} \\ 18 & \leftarrow \cancel{r} \\ 20 \\ 24 \\ 31 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} 7 \\ 11 \\ 13 \end{bmatrix}$$

$$L_{\ell,1} + R_{r,1} \in \{10, 17, 21, 23, 28, 26\}$$

We recovered $x = [0, 1, 1, 1, 1, 0]$ in less than $2^{6/2} = 8$ steps.

Cryptanalysis

Both \mathbf{B} and $\text{Gram-Schmidt}(\mathbf{B})$ span the same space, i. e., \mathbb{R}^2 , but not the same lattice.

$$\mathbf{B} = \begin{bmatrix} 47 & 95 \\ 215 & 460 \end{bmatrix}$$

$\text{Gram-Schmidt}(\mathbf{B})$

||

$$\begin{bmatrix} 47 & -155875/48434 \\ 215 & 34075/48434 \end{bmatrix}$$

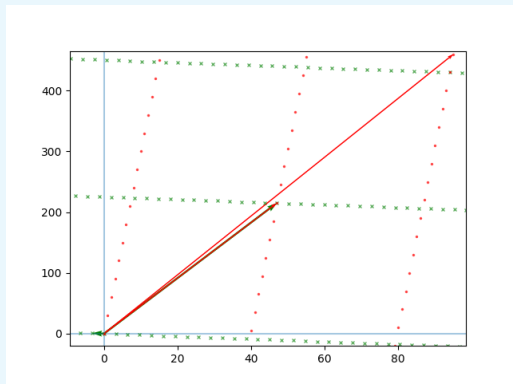


Figure 4: Lattice by \mathbf{B} and $\text{Gram-Schmidt}(\mathbf{B})$.

- 1 A. K. Lenstra, H. W. Lenstra, L. Lovász published general lattice reduction algorithm (LLL) in 1982 [6].
- 2 LLL reduces any general lattice in polynomial time of,

$$O(n^2 \log n + n^2 \log \max(\mathbf{B}))$$

- 3 $|M| = n$ corresponds to the number of coordinates in any given lattice vector.
- 4 $\max \mathbf{B}$ is defined as the basis vector with the largest euclidean norm.


```
1 def lovasz_condition(G, Mu, k, delta):
2     c = delta - Mu[k][k - 1]**2
3     return G[:, k] @ G[:, k].T >= c * (G[:, k - 1] @ G[:, k - 1].T)
4
5 def lll(bad_basis, delta=0.75):
6     B = np.array(bad_basis)
7     G, Mu = gram_schmidt(B) # G are the B*
8     k, n = 1, B.shape[1] - 1
9     while k <= n:
10        for j in range(k - 1, -1, -1):
11            if abs(Mu[k][j]) > 0.5: # size condition not satisfied
12                B[:, k] -= round(Mu[k][j]) * B[:, j]
13                G, Mu = gram_schmidt(B)
14            if lovasz_condition(G, Mu, k, delta):
15                k = k + 1
16            else:
17                B[:, [k, k - 1]] = B[:, [k - 1, k]] # swap
18                G, Mu = gram_schmidt(B)
19                k = max(k - 1, 1)
20    return B
```

Listing 4: Tashfeen's Python implementation of the general LLL lattice reduction algorithm.

It is here, where we use the specialised construction of the *Gram-Schmidt*, i. e., the *Gram-Schmidt* coefficients matrix,

$$\text{Mu} = \boldsymbol{\mu} \iff \text{Mu}[k][j] = \mu_{k,j} = \left(\frac{v_k \cdot u_j}{u_j \cdot u_j} \right) \text{ for } k > j.$$

Cryptanalysis

Both \mathbf{B} and $\text{LLL}(\mathbf{B})$ span the same space, i. e., \mathbb{R}^2 , and the same lattice.

$$\mathbf{B} = \begin{bmatrix} 47 & 95 \\ 215 & 460 \end{bmatrix}$$

$$\text{LLL}(\mathbf{B}) = \begin{bmatrix} 1 & 40 \\ 30 & 5 \end{bmatrix}$$

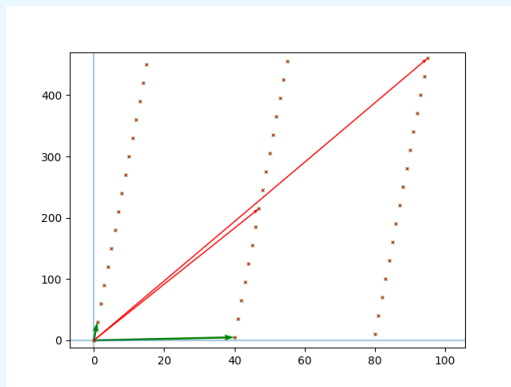


Figure 5: Lattice spanned by \mathbf{B} and $\text{LLL}(\mathbf{B})$.

Note that $\text{LLL}(\mathbf{B})$ is short and almost orthogonal.

Cryptanalysis

We set up a lattice based attack on the Merkle–Hellman scheme.

Recall that $r_i \in \Theta(2^{2n})$,

$$\Theta(2^{2n}) \ni 2^{2n} = \underbrace{(2 \cdot 2^{n-1} \cdot 2^n)}_B > \underbrace{(2^{n-1} \cdot 2^n)}_{r'_n} \geq \dots \geq \underbrace{(2 \cdot 2 \cdot 2^n)}_{r'_3} \geq \underbrace{(2 \cdot 2^n)}_{r'_2} \geq r'_1$$

Consider basis $\kappa \in \mathbb{Z}^{d \times d}$ with $\dim(\kappa) = d = n + 1$,

$$\kappa = \begin{bmatrix} 2 & 0 & 0 & \dots & 0 & 1 \\ 0 & 2 & 0 & \dots & 0 & 1 \\ 0 & 0 & 2 & \dots & 0 & 1 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & 2 & 1 \\ r_1 & r_2 & r_3 & \dots & r_n & S \end{bmatrix}$$

Cryptanalysis

The lattice spanned by κ must have a vector that is the result of the following linear combination due to x ,

$$t = \begin{bmatrix} 2 & 0 & 0 & \cdots & 0 & 1 \\ 0 & 2 & 0 & \cdots & 0 & 1 \\ 0 & 0 & 2 & \cdots & 0 & 1 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 2 & 1 \\ r_1 & r_2 & r_3 & \cdots & r_n & S \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ \vdots \\ x_n \\ -1 \end{bmatrix} = \begin{bmatrix} 2x_1 - 1 \\ 2x_2 - 1 \\ 2x_3 - 1 \\ \vdots \\ 2x_n - 1 \\ M \cdot x - S \end{bmatrix} = \begin{bmatrix} 2x_1 - 1 \\ 2x_2 - 1 \\ 2x_3 - 1 \\ \vdots \\ 2x_n - 1 \\ 0 \end{bmatrix}$$

Therefore,

$$x \in \{0, 1\}^n \Rightarrow 2x_i - 1 = \pm 1 \Rightarrow \|t\| = \sqrt{n}$$

$\|t\|$ is at a stark contrast with the other vectors in the lattice spanned by κ due to the relative size of $r_i \in \Theta(2^{2n})$

Lattice Reduction

Eve has,

$$S = 2002491457667039$$

$$M = [r_1, r_2, r_3, \dots, r_{25}]$$

$$= [67108861, 134217725, 268435453, 536870909, 1073741821, 2147483645, \\ 4294967293, 8589934589, 17179869181, 34359738365, 68719476733, 137438953469, \\ 274877906941, 549755813885, 1099511627773, 2199023255549, 4398046511101, \\ 8796093022205, 17592186044413, 35184372088829, 70368744177661, \\ 140737488355325, 281474976710653, 562949953421309, 1125899906842621]$$

And the encoding table,

$_$	A	B	\dots	T	Y	Z
0	1	2	\dots	20	25	26
00000	00001	00010	\dots	10100	11001	11010

Lattice Reduction

Eve computes $\text{LLL}(\kappa)$.

-4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	-1	-1	0	0	0	0	0	1174258
2	-4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	-1	0	0	0	0	0	1174260
0	2	-4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	-1	0	0	0	0	0	1174260
0	0	2	-4	0	0	0	0	0	0	0	0	0	0	0	-1	0	0	0	-1	1	0	0	0	0	0	1174258
0	0	0	2	-4	0	0	0	0	0	0	0	0	0	0	-1	0	0	0	0	1	-3	0	0	0	0	1174262
0	0	0	0	2	-4	0	0	0	0	0	0	0	0	0	1	0	0	0	0	-1	1	0	0	0	0	1174264
0	0	0	0	0	2	-4	0	0	0	0	0	0	0	-1	0	0	0	0	1	1	0	0	0	0	0	1174266
0	0	0	0	0	0	2	-4	0	0	0	0	0	0	0	1	0	0	0	0	-1	-1	0	0	0	0	1174276
0	0	0	0	0	0	0	2	-4	0	0	0	0	0	0	1	0	0	0	0	-1	3	0	0	0	0	1174296
0	0	0	0	0	0	0	0	2	-4	0	0	0	0	0	1	0	0	0	0	-1	1	0	0	0	0	1174328
0	0	0	0	0	0	0	0	0	2	-4	0	0	0	0	1	0	0	0	0	-1	1	0	0	0	0	1174396
0	0	0	0	0	0	0	0	0	0	2	-4	0	0	0	1	0	0	0	0	-1	1	0	0	0	0	1174534
0	0	0	0	0	0	0	0	0	0	0	2	-4	0	-1	0	0	0	0	0	1	-1	0	0	0	0	1174810
0	0	0	0	0	0	0	0	0	0	0	0	2	-4	1	0	0	0	0	0	-1	-1	0	0	0	0	1175364
0	0	0	0	0	0	0	0	0	0	0	0	0	2	-1	-4	0	0	0	1	1	0	0	0	0	0	1176470
0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	2	-4	0	0	-1	-1	0	0	0	0	0	1178676
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	-1	0	2	-4	0	1	1	0	0	0	0	1183098
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	-1	0	0	2	-4	-3	-3	0	0	0	0	1191934
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	-1	0	0	0	2	-1	-1	0	0	0	0	1209608
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	1	-3	0	0	0	0	1244958
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	-1	1	-4	0	0	0	1315654
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	-1	-1	2	-4	0	0	1457052
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	-1	0	0	0	0	1	1	0	2	-4	0	1739842
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	-1	0	0	0	0	1	1	0	0	2	-4	2305430
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	-1	0	0	0	0	1	1	0	0	0	2	3436596
3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	0	3	3	3	3	0	0	3	3	3	3	782839

Eve verifies,

$$\|t\| = \sqrt{n} = \sqrt{25} = 5$$

She then lets,

$$x \equiv t - 1 \equiv [0, 0, 0, 1, 1, 0, 1, 0, 0, 0, 0, 0, 1, 0, 1, 0, 1, 1, 1, 0, 0, 0, 1, 1, 1] \pmod{3}$$

Breaks x per encoding,

00011 01000 00101 01110 00111

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Breaks x per encoding,

00011	01000	00101	01110	00111
↓	↓	↓	↓	↓
3	8	5	14	7

Eve verifies,

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Breaks x per encoding,

00011	01000	00101	01110	00111
↓	↓	↓	↓	↓
3	8	5	14	7
↓	↓	↓	↓	↓
C	H	E	N	G

Lattice Problems

Shortest Vector Problem (SVP) The knapsack problem is at most as hard as the problem of finding the shortest vector in a lattice.

Shortest Vector Length Unlike knapsack lattices, the length of the shortest vector $\lambda_1(\mathcal{L})$ is unknown in the general case.

$$\lambda_1(\mathcal{L}) \leq \sqrt{\gamma_d} (\det \mathcal{L})^{1/d}$$

$$\det \mathcal{L} \leq \prod_{i=1}^d \|b_i\|$$

Correlated Orth. & Equality

Hermite's constant γ_d is only known for $d < 9$.

Lattice Problems

Three easier problems,

Hermite-SVP For $\alpha > 0$, find a vector $v \in \mathcal{L}$ such that $\|v\| < \alpha \cdot (\det \mathcal{L})^{1/d}$.

Approx-SVP For $\alpha > 0$, find a vector $v \in \mathcal{L}$ such that $\|v\| < \alpha \cdot \lambda_1(\mathcal{L})$.

Unique-SVP For $g > 1$, such that $\lambda_2(\mathcal{L})/\lambda_1(\mathcal{L}) \geq g$, find the unique shortest $v \in \mathcal{L}$.

Any algorithm that solves the Hermite-SVP with an approximation factor of α also solves the Approx-SVP with α^2 [7] [1].

Lattice Problems

Hermite $\alpha^{1/d}$	LLL	BKZ	DEEP
Empirical	1.0219	1.0128	1.011
Theoretical	1.0754	1.0337	1.075

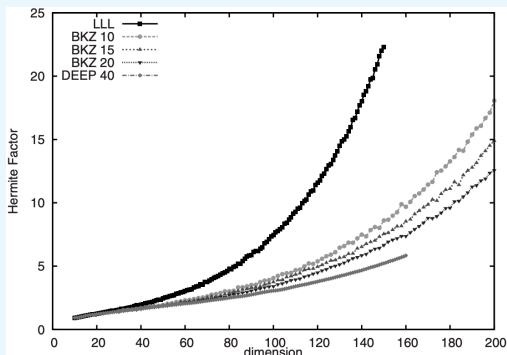


Table 1: Hermite factor gap for LLL, BKZ and DEEP where $1 \leq d \leq 200$ from by Gama et al [1].

Lattice Problems

For LLL,

$$\alpha^2 = \left(\frac{4}{3}\right)^{\frac{151-1}{4} \times 2} = \left(\frac{4}{3}\right)^{\frac{151-1}{2}}$$

Judging key size of 150 using the theoretical upper bound,

$$\left(\frac{4}{3}\right)^{\frac{151-1}{2}} < 2346417266$$

Judging key size of 150 using the empirical upper bound,

$$(1.0219)^{\frac{151-1}{2}} < 5.1$$

For the example we showed with key $n = 26$,

$$1.0754^{2 \times 25} \approx 38 \gg 3 \approx 1.0219^{2 \times 25}$$

Conclusion

- 1 We spoke about \mathcal{P} and \mathcal{NP} and an attempt in \mathcal{NP} -complete based cryptosystem.
- 2 The \mathcal{NP} -complete problem was the knapsack problem.
- 3 We saw different lattice reduction algorithms and how they can be used to solve the knapsack problem.
- 4 We observed a gap in theoretical upper bounds on lattice reduction algorithms and empirical estimates.
- 5 We saw this gap playing out in key sizes.

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Thank You!
Questions?

